

# H.A.M. Global Convertible Bond Fund -USD-A-

ISIN LI0028897788

## Current data (at 29.09.2021)

Current NAV	USD 1'851.99
Fund volume	EUR 885'622'716.39
Fund volume of unit class	USD 39'703'003.27

## Category

Investment category	Convertible Bonds
Investment universe	Global
Focus	Convertible Bonds
UCITS target fund	yes

## Asset manager

Holinger Asset Management AG  
CH-8002 Zürich  
www.h-a-m.ch

## Management company

IFM Independent Fund Management AG  
FL-9494 Schaan  
www.ifm.li

## Depositary

Liechtensteinische Landesbank AG  
FL-9490 Vaduz  
www.llb.li

## Distributor in Liechtenstein

HighValue Partners AG  
FL-9490 Vaduz  
www.hvp.li

## Auditors

Ernst & Young AG  
CH-3008 Bern  
www.ey.com

## Supervisory authority

FMA Finanzmarktaufsicht Liechtenstein  
FL-9490 Vaduz  
www.fma-li.li

see webpage (<https://www.ifm.li/Glossary>)

## Performance in % (at 29.09.2021 in USD)



## Historic performance in % (at 29.09.2021)

By the end of	Fund
2021	MTD -0.57%
	YTD 3.49%
2020	18.01%
2019	13.07%
2018	-3.58%
2017	7.89%
2016	5.95%
2015	0.48%
2014	-2.20%
2013	11.96%
Since inception	85.20%
Since inception (annualized)	4.35%

Inception: 11.04.2007

## Historic performance, rolling in % (at 29.09.2021)

	YTD	1 Year cumulative	3 years p.a.	5 years p.a.	10 years p.a.	Since inception p.a.
Fund	3.49%	13.13%	9.32%	7.60%	6.27%	4.35%

## Risk benchmarks

	3 Years	Inc.	3 Years	Inc.
Annualised Volatility	8.22%	9.63%	% Positive	67.70%
NAV	1'415.98	1'000.00	Worst Period	-6.67%
Highest NAV	1'905.07	1'905.07	Best Period	2.78%
Lowest NAV	1'332.11	720.90	Maximum Drawdown	-13.81%
Median	1'516.64	1'212.29	Number of observations	161
Mean	1'586.81	1'238.61	Sharpe Ratio	0.98
				0.33

## Fundportrait

<b>Fund name</b>	H.A.M. Global Convertible Bond Fund -USD-A-
<b>Unit class</b>	-USD-A-
<b>Accounting currency of the (sub-) fund</b>	EUR
<b>Reference currency of the unit class</b>	USD
<b>Legal form</b>	unit trust
<b>Fund type</b>	UCITS
<b>Close of accounting year</b>	31.12
<b>Launch date</b>	11.04.2007
<b>Initial issue price</b>	USD 1'000.00
<b>Use of proceeds</b>	reinvested

## Fund charges

<b>Issue premium</b>	max. 3%
<b>Redemption charge</b>	0%
<b>Redemption charge credited to the fund's assets</b>	0.25%
<b>Management fee</b>	max. 1.2%
<b>Performance fee</b>	10.00%
<b>Performance Fee 2</b>	15.00%
<b>Hurdle rate</b>	7.50%
<b>Hurdle Rate 2</b>	15.00%
<b>High watermark</b>	yes
<b>OGC/ TER 1</b>	1.38%
<b>TER 2</b>	2.39%
<b>OGC/TER at</b>	30.06.2021
<b>SRRI according to KIID</b>	<span style="border: 1px solid black; padding: 0 2px;">1</span> <span style="border: 1px solid black; padding: 0 2px;">2</span> <span style="border: 1px solid black; padding: 0 2px;">3</span> <span style="border: 1px solid black; padding: 0 2px; background-color: #808080;">4</span> <span style="border: 1px solid black; padding: 0 2px;">5</span> <span style="border: 1px solid black; padding: 0 2px;">6</span> <span style="border: 1px solid black; padding: 0 2px;">7</span>
<b>31.03.2021</b>	

## Key figures

<b>ISIN</b>	LI0028897788
<b>Security number</b>	2889778
<b>Bloomberg</b>	GLCNBNU LE
<b>WKN</b>	AOMVNH

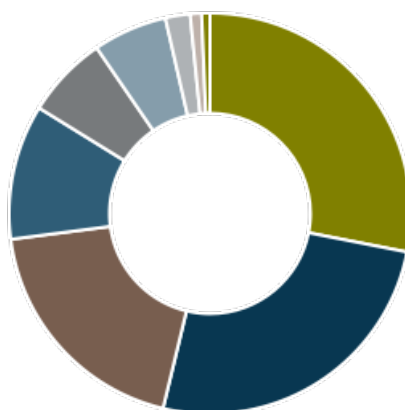
## Publication / Internet

www.ifm.li  
www.lafv.li  
www.fundinfo.com

## Top-10 positions (at 29.09.2021)

Company	Sector	Country	Weightings
0.000% STMicroelectronics 04.08.2027	Semiconductor	Netherlands	1.67 %
1.250% Weibo 15.11.2022	IT-services	Cayman Islands	1.64 %
0.000% Poseidon Finance 01.02.2025	Various industries	China	1.57 %
0.375% Vinci 16.02.2022	Construction industry	France	1.53 %
0.000% Nippon Steel Corp 05.10.2026	Coal	Japan	1.51 %
0.125% Akamai Technologies 01.05.2025	Software	United States	1.51 %
0.000% Xiaomi Best Time International 17.12.2027	Electronics	Hongkong	1.47 %
0.000% JPMorgan Chase Bank into LVMH 10.06.2024	Banks	United States	1.40 %
0.000% Citigroup Global / Longfor Real Estate 25.07.2024		Luxembourg	1.36 %
0 % SBI Holdings Inc 25.07.2025	Financial services	Japan	1.30 %
<b>Total</b>			<b>14.97 %</b>

## Countries (at 29.09.2021)



Country	Weightings
North America	28.08 %
European Union	25.68 %
Far East	19.20 %
Central America	10.87 %
Liquidity	6.65 %
Diverse	5.97 %
Non European Union	1.99 %
Near and middle East	0.91 %
Australia / New Zealand	0.66 %
<b>Total</b>	<b>100.00 %</b>

## Industries (at 29.09.2021)

1. Communications	20.49 %
2. Technology	14.94 %
3. Financial services	12.58 %
4. Industrial	12.50 %
5. Consumer (non-cyclical)	11.21 %
6. Consumer (cyclical)	8.37 %
7. Liquidity	6.65 %
8. other	5.37 %
9. Various industries	4.45 %
10. Energy	3.44 %

## Currencies (at 29.09.2021)

Currency	Weightings
USD	96.85 %
other	1.75 %
EUR	1.39 %
<b>Total</b>	<b>100.00 %</b>

## Investment categories (at 29.09.2021)

Investment category	Weightings
Convertible bonds	92.31 %
Cash balances	5.74 %
other	1.94 %
<b>Total</b>	<b>100.00 %</b>

## Procedural information

<b>Valuation interval</b>	weekly
<b>Valuation day</b>	Wednesday
<b>Acceptance deadline for subscriptions</b>	Valuation day by no later than 12.00 noon (CET)
<b>Acceptance deadline for redemptions</b>	Valuation day by no later than 12.00 noon (CET)
<b>Minimum investment</b>	1 unit
<b>Value date</b>	T + 3

## Switzerland representative

**LLB Swiss Investment AG**  
Claridenstrasse 20  
CH-8002 Zürich  
T +41 58 523 96 70  
www.llbsswiss.ch

## Switzerland paying agent

**Helvetische Bank AG**  
Seefeldstrasse 215  
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www.helvetischebank.ch

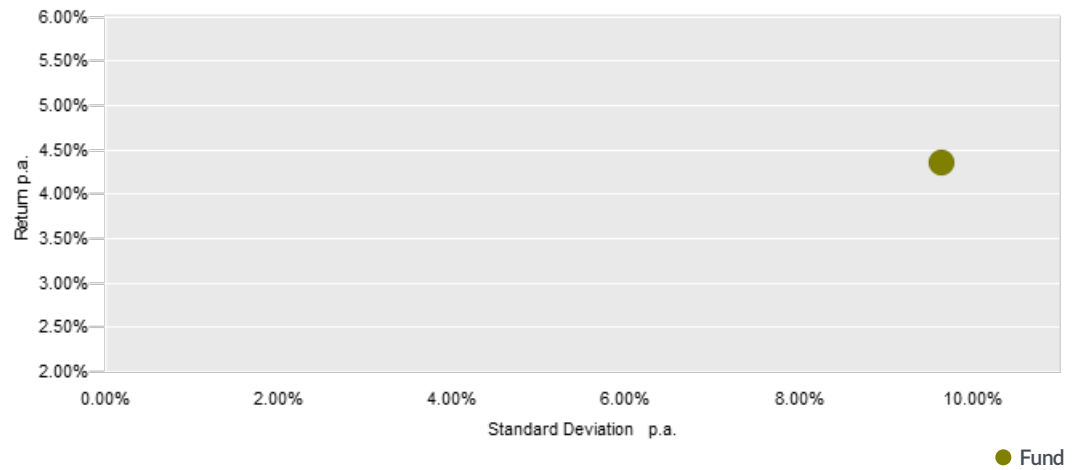
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**Hauk & Aufhäuser Privatbankiers AG**  
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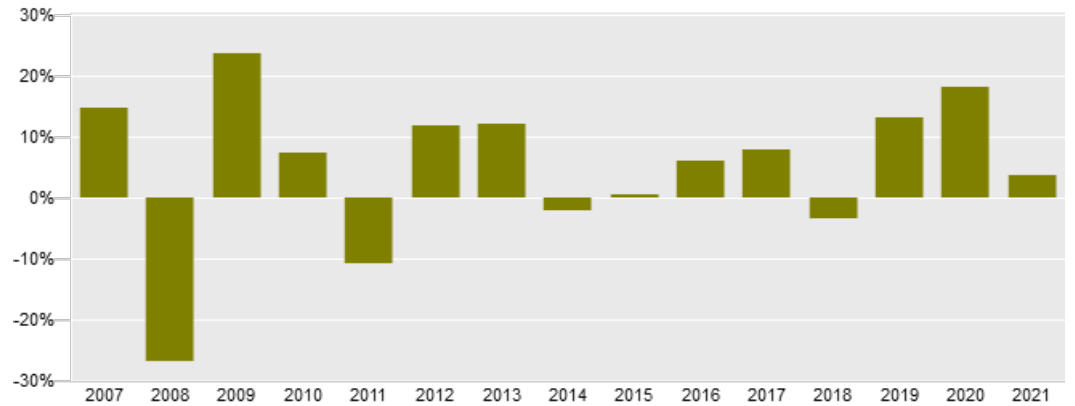
## Paying and information agent in Austria

**Erste Bank der österreichischen Sparkassen AG**  
Am Belvedere 1  
AT-1100 Wien  
T +43 5 01 00 20 11 1  
www.sparkasse.at

## Risk/return diagram (Since inception)



## Historic performance in % (at 29.09.2021)



Inception: 11.04.2007

## Distribution

<b>Distribution to private investors</b>	LI, DE, AT, CH, UK
<b>Distribution to professional investors</b>	LI, DE, AT, CH, UK, IT
<b>Sales restrictions</b>	USA

## Other share classes

H.A.M. Global Convertible Bond Fund -CHF-A-	reinvested	GLCNBNC	LI0045967341
H.A.M. Global Convertible Bond Fund -CHF-D-	reinvested	GLCNBCD	LI0336894378
H.A.M. Global Convertible Bond Fund -EUR-A-	reinvested	GLCNBND	LI0010404585
H.A.M. Global Convertible Bond Fund -EUR-D-	reinvested	GLCNBED	LI0336894352
H.A.M. Global Convertible Bond Fund -GBP-A-	reinvested	GLCNBGA	LI0364737259
H.A.M. Global Convertible Bond Fund -USD-D-	reinvested	GLCNBUD	LI0336894360

## Historic performance, per calendar year in % (at 29.09.2021)

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD
2021	2.25	2.89	-2.01	1.95	-1.79	1.47	-1.43	0.82	-0.57				3.49
2020	2.02	-1.19	-10.05	6.54	2.83	4.01	3.41	2.23	-1.16	0.07	5.12	3.91	18.01
2019	3.36	2.86	-0.04	2.24	-2.29	1.80	1.69	-1.83	1.13	0.51	2.30	0.76	13.07
2018	1.69	-0.75	-0.77	0.78	0.65	-1.15	0.98	0.56	-0.16	-3.24	0.06	-2.17	-3.58
2017	1.16	1.53	-0.45	1.13	1.00	-0.04	1.23	-0.34	0.68	1.64	0.06	0.06	7.89
2016	-3.44	-0.55	4.04	1.15	0.20	-0.21	2.31	1.38	0.64	0.14	-0.27	0.58	5.95
2015	-0.79	2.05	-0.73	3.74	-0.39	-0.70	-2.90	-1.66	-1.19	3.44	0.32	-0.49	0.48
2014	-1.04	1.07	0.00	1.02	1.01	1.73	-0.53	-0.09	-2.42	-0.94	0.32	-2.26	-2.20
2013	2.20	-1.27	0.83	1.15	1.78	-3.12	3.58	-0.64	3.65	2.81	-0.99	1.62	11.96
2012	3.79	4.15	-0.03	-1.36	-5.41	1.65	0.67	3.51	1.69	-0.64	0.35	3.09	11.62
2011	2.00	0.36	1.14	3.00	-3.40	-0.63	0.85	-4.91	-7.71	2.65	-2.92	-1.33	-10.92
2010	-0.41	-2.38	2.84	-0.76	-8.00	1.76	5.87	-0.96	5.63	3.26	-0.84	1.83	7.29
2009	-5.20	-6.04	7.68	3.07	7.76	0.88	4.92	3.27	5.79	0.50	3.15	-3.35	23.44
2008	-4.22	1.48	-0.86	0.91	0.68	-3.02	-3.56	-3.68	-4.35	-23.32	-0.75	14.06	-26.77
2007				2.24	0.49	0.50	3.17	-3.50	5.93	5.04	0.04	0.22	14.69

Inception: 11.04.2007

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