

# H.A.M. Global Convertible Bond Fund -USD-A-

ISIN LI0028897788

## Current data (at 04.01.2023)

Current NAV	USD 1'654.27
Fund volume	EUR 682'979'550.52
Fund volume of unit class	USD 26'314'416.27

## Management company

IFM Independent Fund Management AG  
 FL-9494 Schaan  
 info@ifm.li  
 www.ifm.li

## Asset manager

Holinger Asset Management AG  
 CH-8002 Zürich  
 www.h-a-m.ch

## Depository

Liechtensteinische Landesbank AG  
 FL-9490 Vaduz  
 www.llb.li

## Auditors

Ernst & Young AG  
 CH-3008 Bern  
 www.ey.com

## Supervisory authority

FMA Finanzmarktaufsicht Liechtenstein  
 FL-9490 Vaduz  
 www.fma-li.li

see webpage (<https://www.ifm.li/Glossary>)

see legal advisory page 4

## ESG-Fundrating

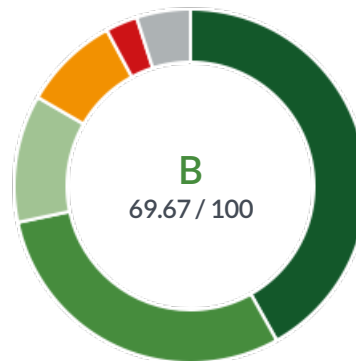
Fund-Score (Weighted Average ESG Score)	69.67 / 100
Fund-Rating	B
Rating significance (max.100)	95.07
Unrated	4.93

## WaVeritas Rating

[www.waveritas.com](http://www.waveritas.com)



## ESG Rating Distribution of Fund Holdings



■ A ■ B ■ C ■ D ■ E ■ Unrated

Rating	in %
A	41.87
B	29.83
C	11.64
D	8.79
E	2.93
Unrated	4.94
<b>Total</b>	<b>100.00</b>

## ESG Topic Performance



ESG  
69.67



Environmental  
61.96



Social  
66.31



Governance  
69.63

## Rating Scale (0 = lowest value / 100 = highest value)



## Fundportrait

<b>Fund name</b>	H.A.M. Global Convertible Bond Fund -USD-A-
<b>Unit class</b>	-USD-A-
<b>Accounting currency of the (sub-) fund</b>	EUR
<b>Reference currency of the unit class</b>	USD
<b>Legal form</b>	unit trust
<b>Fund type</b>	UCITS
<b>Close of accounting year</b>	31.12
<b>Launch date</b>	11.04.2007
<b>Initial issue price</b>	USD 1'000.00
<b>Use of proceeds</b>	reinvested

## Key figures

<b>ISIN</b>	LI0028897788
<b>Security number</b>	2889778
<b>Bloomberg</b>	GLCNBNU LE
<b>WKN</b>	A0MVNH

## Category

<b>Investment category</b>	Convertible Bonds
<b>Investment universe</b>	Global
<b>Focus</b>	Convertible Bonds
<b>SFDR Classification</b>	Article 8

## Fund charges

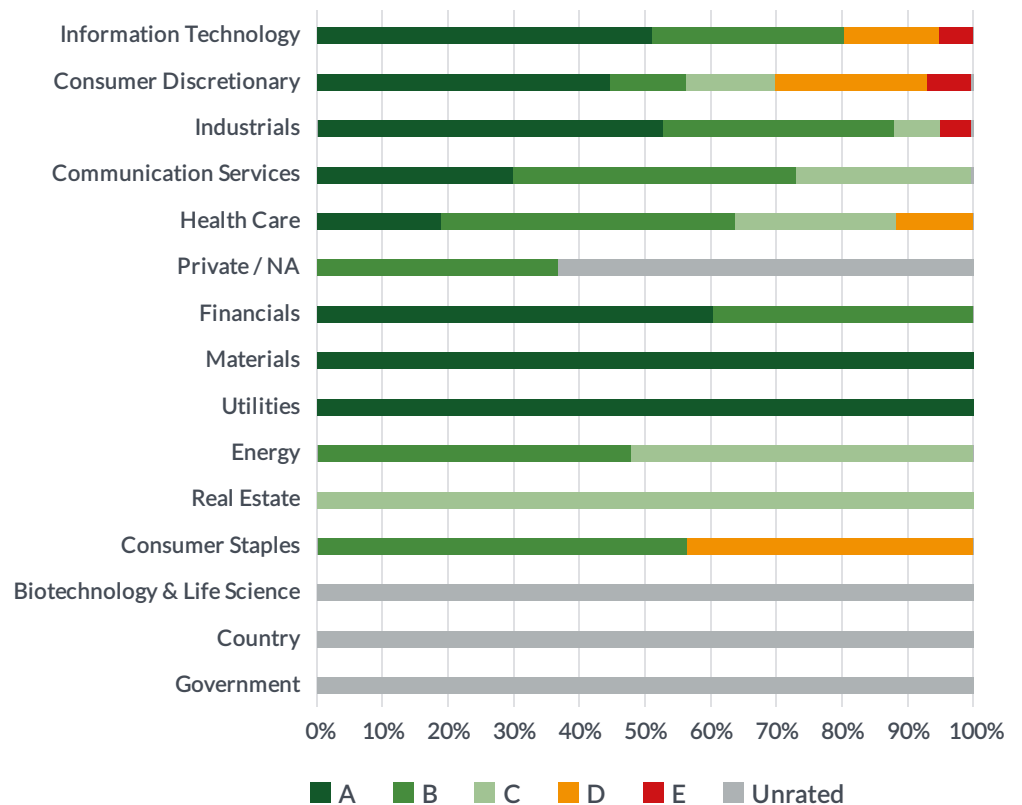
<b>Issue premium</b>	max. 3%
<b>Redemption charge</b>	0%
<b>Redemption charge credited to the fund's assets</b>	0.25%
<b>Management fee</b>	max. 1.2%
<b>Performance fee</b>	10.00%
<b>Performance Fee 2</b>	15.00%
<b>Hurdle rate</b>	7.50%
<b>Hurdle Rate 2</b>	15.00%
<b>High-on-High-Mark</b>	yes
<b>OGC/ TER 1</b>	1.34%
<b>TER 2</b>	1.34%
<b>OGC/TER at</b>	29.06.2022

## Breakdown by sector and ESG ratings

Sector	No.	WGT in %	A	B	C	D	E	NR
Information Technology	19	19.64	10.01	5.76	0.00	2.86	1.01	0.00
Consumer Discretionary	17	17.68	7.91	2.04	2.43	4.12	1.17	0.00
Industrials	15	15.72	8.29	5.56	1.13	0.00	0.75	0.00
Communication Services	10	10.93	3.27	4.73	2.93	0.00	0.00	0.00
Health Care	9	8.89	1.67	4.00	2.17	1.05	0.00	0.00
Private / NA	7	7.80	0.00	2.86	0.00	0.00	0.00	4.93
Financials	6	7.40	4.46	2.94	0.00	0.00	0.00	0.00
Materials	3	3.93	3.93	0.00	0.00	0.00	0.00	0.00
Utilities	2	2.32	2.32	0.00	0.00	0.00	0.00	0.00
Energy	2	2.00	0.00	0.96	1.04	0.00	0.00	0.00
Real Estate	2	1.94	0.00	0.00	1.94	0.00	0.00	0.00
Consumer Staples	2	1.75	0.00	0.99	0.00	0.76	0.00	0.00
Biotechnology & Life Science	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Country	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Government	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>	<b>94</b>	<b>100.00</b>	<b>41.87</b>	<b>29.83</b>	<b>11.64</b>	<b>8.79</b>	<b>2.93</b>	<b>4.93</b>

Weighted Average ESG Rating (ex Cash)  
NR = Not Rated

## ESG Sector Stacked Barchart



## Distribution

Distribution to private investors	LI, DE, AT, CH
Distribution to professional investors	LI, DE, AT, CH, UK, IT
Sales restrictions	USA

## Switzerland representative

LLB Swiss Investment AG  
CH-8002 Zürich  
www.llbsswiss.ch

## Switzerland paying agent

Helvetische Bank AG  
CH-8008 Zürich  
info@nhb.ch  
www.helvetischebank.ch

## Facility agent Germany

IFM Independent Fund Management AG  
FL-9494 Schaan  
info@ifm.li  
www.ifm.li

## Contact and information agent Austria

Erste Bank der österreichischen Sparkassen AG  
AT-1100 Wien  
www.sparkasse.at

## Facilities agent United Kingdom

Bank Frick & Co. AG UK Branch  
London WC1B 3HH  
info@bankfrick.co.uk

## Top 5-ESG-Positions (by weighting)

Name of position	Sector	ESG Rating	WGT in %	ESG PCTL
0.000% Schneider Electric 15.06.2026	Industrials	A	1.32	0.9891
7.250 % Bank of America open end	Financials	A	1.04	0.9885
1.625% Singapore Airlines 03.12.2025	Industrials	A	1.27	0.9836
0.150% Sika 05.06.2025	Materials	A	1.25	0.9823
0.000% Veolia Environnement 01.01.2025	Utilities	A	1.22	0.9811

## Bottom 5-ESG-Positions (by weighting)

Name of position	Sector	ESG Rating	WGT in %	ESG PCTL
0.000% Tequ Mayflower / Hope Education 02.03.2026	Industrials	E	0.75	0.0077
0.000% Nio 01.02.2026	Consumer Discretionary	E	1.17	0.0426
0.125% Bentley Systems 15.01.2026	Information Technology	E	1.01	0.1474
Confluent Convertible Bonds / 15.01.2027	Information Technology	D	0.84	0.2526
0.000% MicroPort Scientific 11.06.2026	Health Care	D	1.05	0.2611

## Exclusion criteria

Criterion	Exclusion criteria applied		Compliant
Coal mining	yes	<25%	✓
Tobacco	yes	0%	✗
Weapons	yes	0%	✓
Alcohol	no	n/a	n/a
Adult entertainment	no	n/a	n/a
Genetic engineering	no	n/a	n/a
Gambling	no	n/a	n/a
Nuclear energy	no	n/a	n/a
Military contracts	no	n/a	n/a
Furs	no	n/a	n/a
Pesticides	no	n/a	n/a

## Procedural information

<b>Valuation interval</b>	weekly
<b>Valuation day</b>	Wednesday
<b>Acceptance deadline for subscriptions</b>	Valuation day by no later than 12.00 noon (CET)
<b>Acceptance deadline for redemptions</b>	Valuation day by no later than 12.00 noon (CET)
<b>Minimum investment</b>	1 unit
<b>Value date</b>	T + 2

## Publication / Internet

[www.ifm.li](http://www.ifm.li)

[www.lafv.li](http://www.lafv.li)

[www.fundinfo.com](http://www.fundinfo.com)

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